

# Embeddings Learned by Gradient Descent

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# Overview

- 1 word2vec skipgram versions
- 2 Embeddings via gradient descent
- 3 Visualization
- 4 FastText

# Outline

1 word2vec skipgram versions

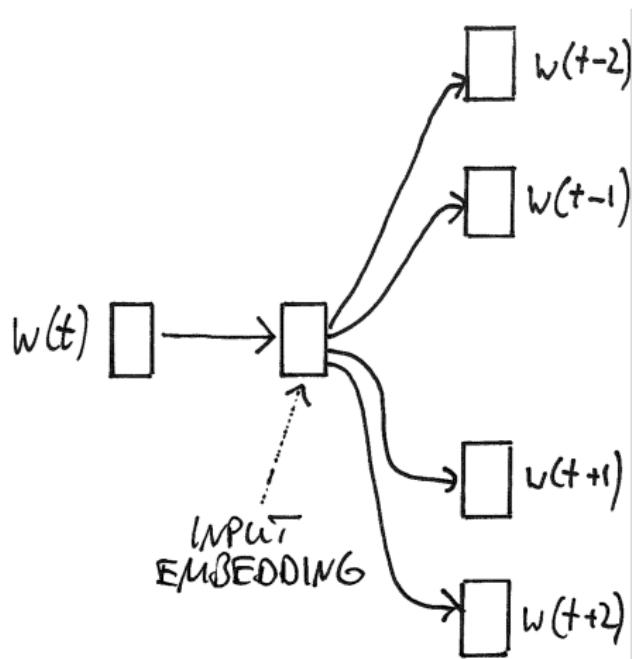
2 Embeddings via gradient descent

3 Visualization

4 FastText

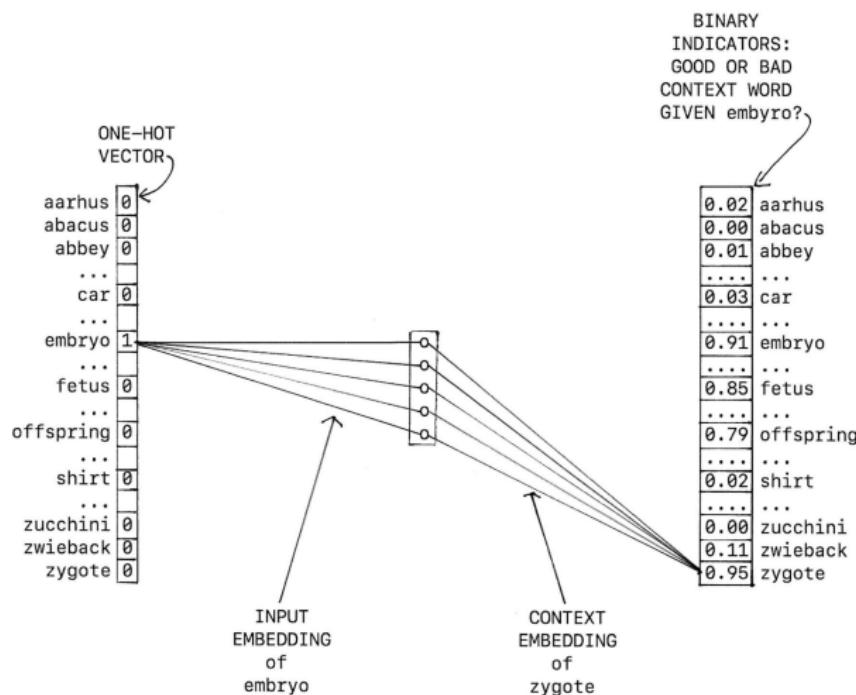
# word2vec skipgram

predict, based on input word, a context word



# word2vec skipgram

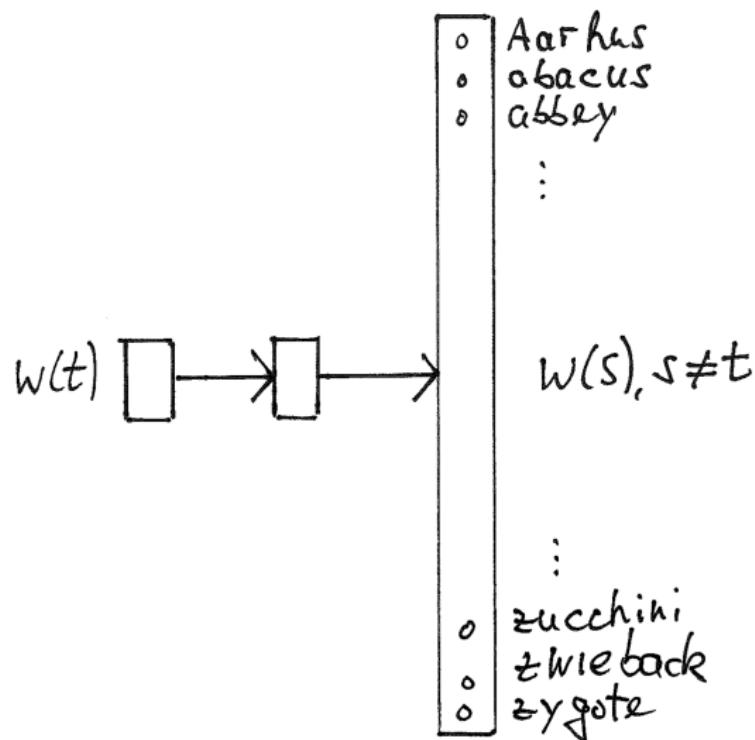
predict, based on input word, a context word



# Three versions of word2vec skipgram

- All three share skipgram objective (previous slide): predict, based on input word, a context word
- 1. Matrix factorization (SVD) of PPMI matrix
  - Tuesday's lecture
- 2. skipgram negative sampling (SGNS) using GD
  - Today's topic
  - Levy&Goldberg show rough equivalence:  
 $SGNS \approx SVD\text{-of-PPMI-matrix}$
  - No rigorous proof?
- 3. hierarchical softmax (skipgram HS)
  - skipgram HS vs. SGNS: different objectives

# skipgram softmax



# skipgram softmax: objective

$$\arg \max_{\theta} \sum_{(w,c) \in D} \log \frac{\exp(\vec{v}_w \cdot \vec{v}_c)}{\sum_{c' \in V} \exp(\vec{v}_w \cdot \vec{v}_{c'})}$$

(hierarchical softmax is hierarchical version of this)

# Three versions of skipgram: Learning algorithms

w2v skipgram SGNS (original)	gradient descent
w2v skipgram SGNS (Levy&Goldberg)	SVD
w2v skipgram hierarchical softmax	gradient descent

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# skipgram negative sampling (SGNS): objective

# skipgram negative sampling (SGNS): objective (not!)

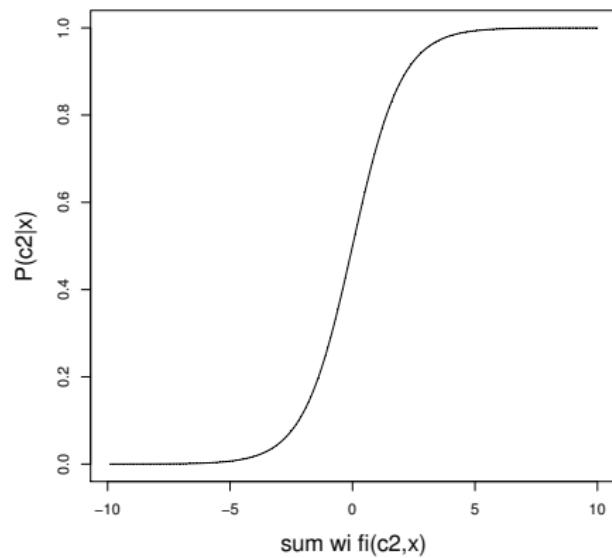
$$\arg \max_{\theta} \left[ \sum_{(w,c) \in D} (\vec{v}_w \cdot \vec{v}_c) + \beta \sum_{(w,c) \in V \times V} (-\vec{v}_w \cdot \vec{v}_c) \right]$$

- Training set  $D$ : set of word-context pairs  $(w, c)$
- We learn an embedding  $\vec{v}_w$  for each  $w$ .
- We learn an embedding  $\vec{v}_c$  for each  $c$ .
- Note that each word has two embeddings:  
an **input embedding** and a **context embedding**
- We generally only use the input embedding.
- make dot product of “true” pairs as big as possible
- dot product of “false” pairs as small as possible

# skipgram negative sampling (SGNS): objective

$$\arg \max_{\theta} \left[ \sum_{(w,c) \in D} \log \sigma(\vec{v}_w \cdot \vec{v}_c) + \beta \sum_{(w,c) \in V \times V} \log \sigma(-\vec{v}_w \cdot \vec{v}_c) \right]$$

- $\sigma(x) = 1/(1 + e^{-x})$
- Training set  $D$ : set of word-context pairs  $(w, c)$
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$\sigma$ : Logistic = Sigmoid

# Housing prices in Portland

input variable $x$ size (feet <sup>2</sup> )	output variable $y$ price (\$) in 1000s
2104	460
1416	232
1534	315
852	178

We will use  $m$  for the number of training examples.

# Setup to learn housing price predictor using GD

Next: Setup for word2vec skipgram

- Hypothesis:

$$h_{\theta} = \theta_0 + \theta_1 x$$

- Parameters:

$$\theta = (\theta_0, \theta_1)$$

- Cost function:

$$J(\theta_0, \theta_1) = \frac{1}{2m} \sum_{i=1}^m (h_{\theta}(x^{(i)}) - y^{(i)})^2$$

- Objective:  $\text{minimize}_{\theta_0, \theta_1} J(\theta_0, \theta_1)$

# Parameters

house prices:  $\theta = (\theta_0, \theta_1)$

dimensionality of embeddings:  $d$ , size of vocabulary:  $n$ , word embeddings  $\theta$ , context embeddings  $\eta$

word2vec skipgram:

$\theta_{11}, \theta_{12}, \dots, \theta_{1d}$

$\theta_{21}, \theta_{22}, \dots, \theta_{2d}$

...

$\theta_{n1}, \theta_{n2}, \dots, \theta_{nd}$

$\eta_{11}, \eta_{12}, \dots, \eta_{1d}$

$\eta_{21}, \eta_{22}, \dots, \eta_{2d}$

...

$\eta_{n1}, \eta_{n2}, \dots, \eta_{nd}$

# Hypothesis

house prices:  $h_\theta = \theta_0 + \theta_1 x$

word2vec skipgram:

$$h_{\theta,\eta}(i) = \theta_i \quad (= \eta_j)$$

# Cost function

house prices:

$$J(\theta_0, \theta_1) = \frac{1}{2m} \sum_{i=1}^m (h_\theta(x^{(i)}) - y^{(i)})^2$$

word2vec skipgram It's a reward function!

$$[ \sum_{(w,c) \in D} \log \sigma(\vec{v}_w \cdot \vec{v}_c) + \beta \sum_{(w,c) \in V \times V} \log \sigma(-\vec{v}_w \cdot \vec{v}_c) ]$$

$$J(\theta, \eta) = [ \sum_{(w,c) \in D} \log \sigma(\theta(w) \cdot \eta(c)) + \beta \sum_{(w,c) \in V \times V} \log \sigma(-\theta(w) \cdot \eta(c)) ]$$

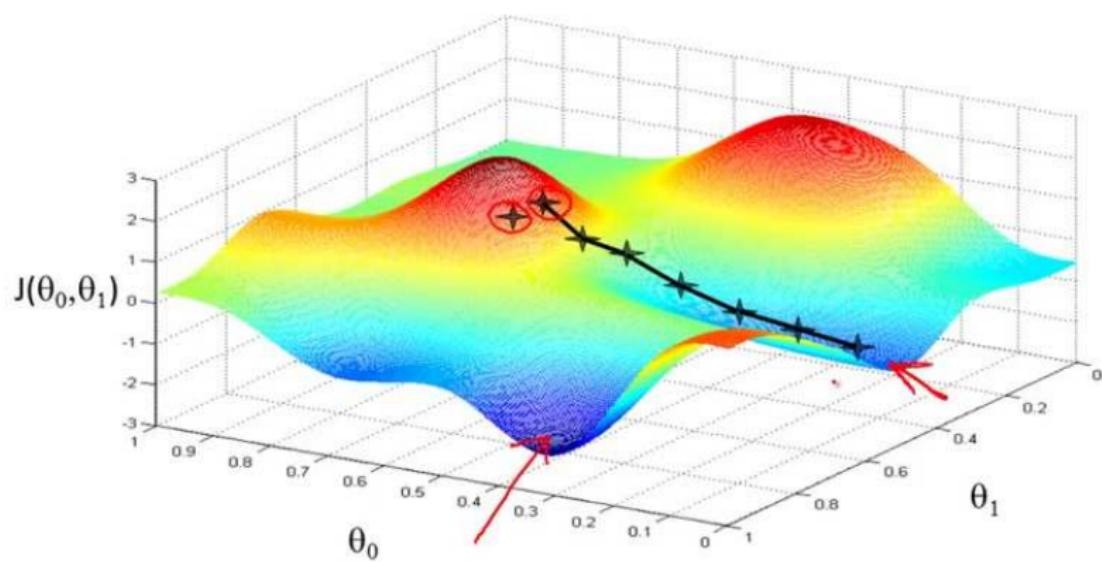
# Objective

house prices: gradient descent

$$\text{minimize}_{\theta_0, \theta_1} J(\theta_0, \theta_1)$$

word2vec skipgram: gradient ascent

$$\text{maximize}_{\theta, \eta} J(\theta, \eta)$$



# Exercise

- What is the maximum value that the objective can take in word2vec skipgram? (focus on first term, below)
- Are we likely to find parameters for which we reach the maximum? (focus on first term, below)
- (Recall:  $\sigma(x) = 1/(1 + e^{-x})$ )
- Why?

$$\arg \max_{\theta} \sum_{(w,c) \in D} \log \sigma(\theta(w) \cdot \eta(c))$$

house prices	word2vec skipgram
$\theta_0, \theta_1$	$2 V d$ parameters: $\theta, \eta$
$h_\theta(x) = \theta_0 + \theta_1 x$	$h_{\theta, \eta}(i) = \theta(i) \approx \eta(c)$
$J(\theta) =$	$J(\theta, \eta) =$
$1/(2m) \sum (h_\theta(x^{(i)}) - y^{(i)})^2$	$\sum_{(w,c) \in D} \log \sigma(\theta(w) \cdot \eta(c))$ $+ \beta \sum_{(w,c) \in V \times V} \log \sigma(-\theta(w) \cdot \eta(c))$
$\text{argmin}_\theta J(\theta)$	$\text{argmax}_{\theta, \eta} J(\theta, \eta)$

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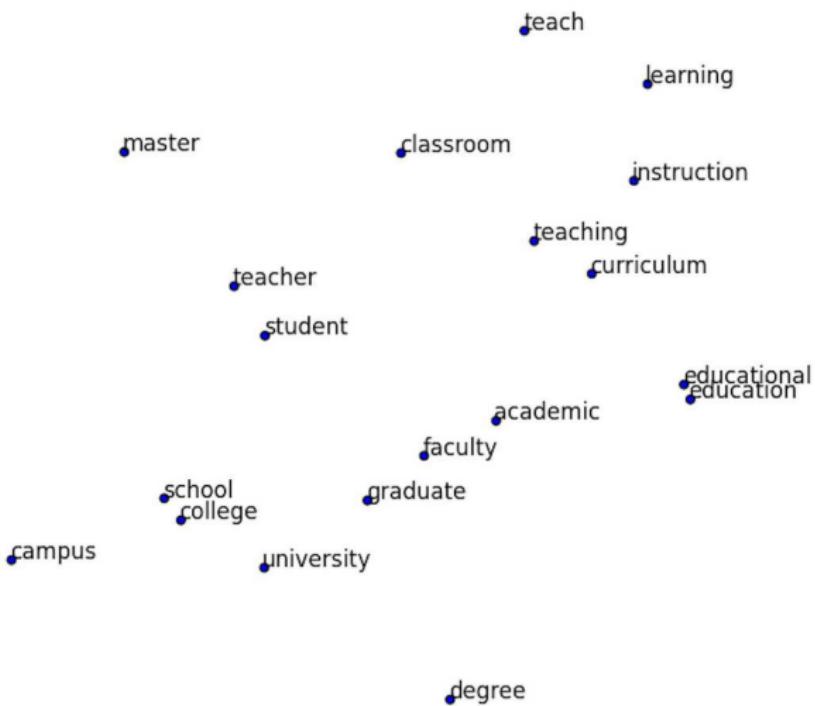
4 FastText

# TensorBoard

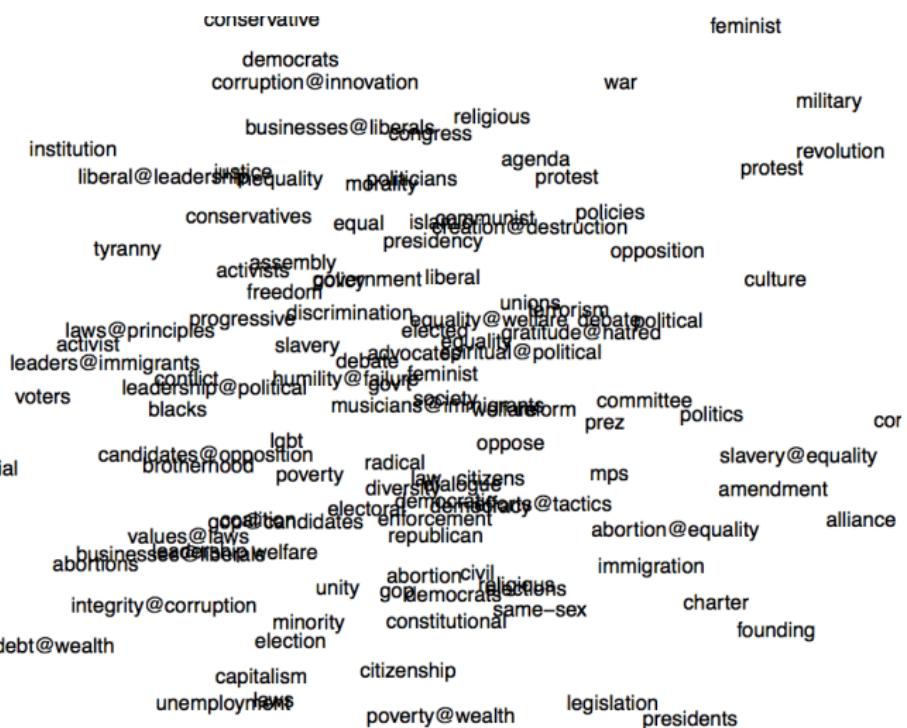
# Visualization

- How to understand / analyze embeddings?
- Frequently used: two-dimensional projections
- Methods / software
  - Traditional:  
multidimensional scaling, PCA
  - t-SNE  
<https://lvdmaaten.github.io/tsne/>
  - gensim  
<https://radimrehurek.com/gensim/>
  - Pretty much all methods are implemented in R:  
<https://www.r-project.org>
- Important: **The two dimensions are not interpretable.**

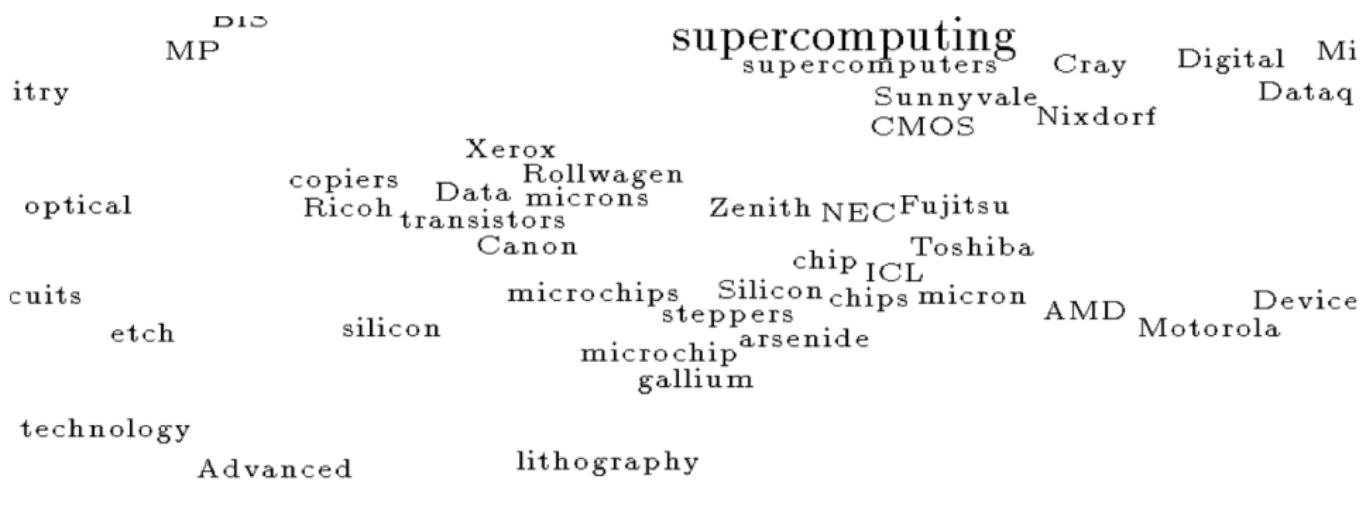
# 2D projection of embeddings



## 2D projection of embeddings



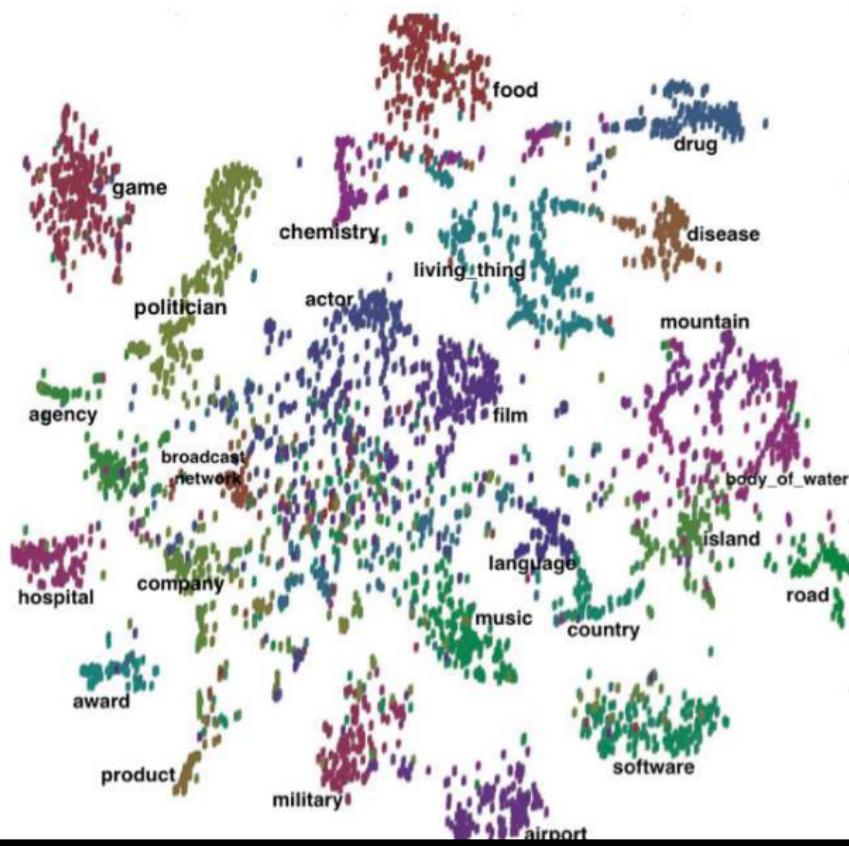
# 2D projection of embeddings



VLSI

The semantic field of *supercomputing* in sublexical space

# 2D projection of entity embeddings



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# word2vec

# FastText

- FastText is an extension of word2vec SGNS.
- It also computes **embeddings for character ngrams**.
- A word's embedding is  
**a weighted sum of its character ngram embeddings**.
- Parameters: minimum ngram length: 3, maximum ngram length: 6
- The embedding of “dendrite” will be the sum of the following ngrams: @dendrite@ @de den end ndr dri rit ite te@ @den dend endr ndri drit rite ite@ @dend dendr endri ndrit drite rite@ @dendr dendri endrit ndrite drite@

# FastText

- Example 1: embedding for character ngram “dendrit”  
→ “dentrite” and “dendritic” are similar
- Example 2: embedding for character ngram “tech-”  
→ “tech-rich” and “tech-heavy” are similar

# Three frequently used embedding learners

- word2vec  
<https://code.google.com/archive/p/word2vec/>
- FastText  
<https://research.fb.com/projects/fasttext/>
- gensim  
<https://radimrehurek.com/gensim/>

```
fasttext skipgram -dim 50 -input tinycorpus.txt  
-output tiny
```

```
cat ftvoc.txt | fasttext print-vectors tiny.bin >  
ftvoc.vec
```

# Letter n-gram generalization can be good

## word2vec

1.000 automobile 779 mid-size 770 armored 763 **seaplane** 754 bus  
754 **jet** 751 **submarine** 750 aerial 744 **improvised** 741 **anti-aircraft**

## FastText

1.000 automobile 976 automobiles 929 Automobile 858  
manufacturing 853 motorcycles 849 Manufacturing 848 motorcycle  
841 automotive 814 manufacturer 811 manufacture

# Letter n-gram generalization can be bad

## word2vec

1.000 Steelers 884 Expos 865 Cubs 848 Broncos 831 Dinneen 831  
Dolphins 827 Pirates 826 Copley 818 Dodgers 814 Raiders

## FastText

1.000 Steelers 893 49ers 883 Steele 876 Rodgers 857 Colts 852  
Oilers 851 Dodgers 849 Chalmers 849 Raiders 844 Coach

# Letter n-gram generalization: no-brainer for unknowns

## word2vec

( “video-conferences” did not occur in corpus)

## FastText

1.000 video-conferences 942 conferences 872 conference 870

Conferences 823 inferences 806 Questions 805 sponsorship 800

References 797 participates 796 affiliations

# FastText skipgram parameters

- -input <path>  
training file path
- -output <path>  
output file path
- -lr <float>  
learning rate
- -lrUpdateRate <int>  
rate of updates for the learning rate
- -dim <int>  
dimensionality of word embeddings
- -ws <int>  
size of the context window
- -epoch <int>  
number of epochs

# FastText skipgram parameters

- `-minCount <int>`  
minimal number of word occurrences
- `-neg <int>`  
number of negatives sampled
- `-wordNgrams <int>`  
max length of word ngram
- `-loss <string>`  
loss function  $\in \{ \text{ns}, \text{hs}, \text{softmax} \}$
- `-bucket <int>`  
number of buckets
- `-minn <int>`  
min length of char ngram
- `-maxn <int>`  
max length of char ngram

# FastText skipgram parameters

- `-threads <int>`  
number of threads
- `-t <float>`  
sampling threshold
- `-label <string>`  
labels prefix
- `-verbose <int>`  
verbosity level

# Takeaway: Three versions of word2vec skipgram

- Matrix factorization (SVD) of PPMI matrix
- skipgram negative sampling (SGNS) using GD
- hierarchical softmax

# Takeaway: Embeddings learned via gradient descent

- Cost (actually reward) function is negative sampling:  
Make dot product of “true” pairs as big as possible and of  
“false” pairs as small as possible
- Number of parameters:  $2d|V|$
- Gradient ascent

# Takeaway: Visualization

- 2D or 3D visualization of embeddings
- 2D/3D visualization of high-dimensional spaces  
is often misleading.

# Takeaway: FastText

- Learns embeddings for character ngrams
- Can handle out-of-vocabulary (OOV) words
- Sometimes you gain (“automobile”),  
sometimes you lose (“Steelers”).